

1 **Title**

2 Multiple tracking and machine learning reveal dopamine modulation for area-restricted
3 foraging behaviors via velocity change in *Caenorhabditis elegans*

4

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16

17 **Abstract**

18 Food exploration is an essential survival behavior in organisms. To find food efficiently,
19 many organisms use a foraging strategy called area-restricted search (ARS) wherein
20 individuals first turn more frequently, restricting their search to one area, then turn less
21 frequently, moving along a straight path to widen the search area. Previous research
22 suggests that the nematode *Caenorhabditis elegans* shows ARS behavior by changing
23 turn frequency, and that dopamine is a crucial determinant. However, the effects of
24 dopamine on multiple behavioral parameters have remained unknown. Here, we
25 evaluated turn (pirouette) frequency, moving velocity, and specific area occupancy (cell
26 occupancy) over time by using a multiple-worms tracking system. In the control (mock)
27 experiments, all parameters changed over time, but no changes were observed in
28 experiments with dopamine pre-exposed and dopamine-deficient animals. In inverse
29 reinforcement learning analysis, the value function for specific velocity was found to
30 modulate over time in mock animals only. These results demonstrate that dopamine
31 regulates ARS via changes not only to pirouette frequency change but also to velocity.

32

33 **Highlights**

- 34 ● *C. elegans* shows ARS behavior, changing its velocity and turn frequency over
35 time.
- 36 ● Pre-exposure to dopamine inhibits changes in searching behavior.
- 37 ● A dopamine-synthesis defect inhibits changes in searching behavior.
- 38 ● Inverse reinforcement learning reveals a change in the value function for velocity.
- 39 ● A change in value function is absent in dopamine exposed and deficient animals.

40

41 **Keywords**

42 dopamine; area-restricted search; foraging, behavioral assay; machine learning; inverse
43 reinforcement learning

44

45 **Abbreviations**

46 Area-restricted search: ARS

47

48 **Acknowledgements**

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51 thank to Dr. Hisashi Shidara and Toshiki Yoshimizu for providing the behavioral
52 analysis program.

53

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56 commercial, or not-for-profit sectors.

57

58 **Author Contribution**

59 K.A, K.H. and K.O designed the experiments. T.K. performed the experiments. K.A and
60 T.K. performed data analysis; K.A. wrote the original draft of paper and K.A, K.H. and
61 K.O reviewed and edited the paper. K.H. and K.O supervised the work.

62

63 **Conflict of Interest**

64 No conflict declared.

65 **1. Introduction**

66 Exploring food is an essential survival behavior in organisms. To find food efficiently,
67 many species, from nematode to human, use a strategy called area-restricted search
68 (ARS) [1–6]. In this foraging strategy, animals first search locally, turning more
69 frequently within a restricted area, then search globally, turning less frequently and
70 moving more along straight paths to extend the search area. The nematode
71 *Caenorhabditis elegans* has been shown to use the ARS strategy [1,2,7,8]. While the
72 neuromodulator dopamine has been suggested to play a crucial role in the behavioral
73 shift involved [1], the effect of dopamine on the foraging remains largely unstudied.
74 Previous research indicates that dopamine is important for sharp turns (pirouettes), and
75 that ablation of dopaminergic neurons and treatment with dopamine antagonists inhibit
76 a pirouette-frequency change over time [1]. Although the pirouette frequency is used to
77 evaluate ARS, this parameter does not reliably indicate whether worms are conducting a
78 local or global search, and the effect of dopamine on the searching behavior has
79 therefore not yet been clearly established. Several reports characterize *C. elegans* based
80 on velocity, pirouette frequency, and cell occupancy [7,9,10]. A custom-made tracking
81 system has recently been developed to easily and quickly measure the behavior of
82 multiple worms and to investigate their interaction during chemotaxis [10]. Using this
83 system, we measured several behavioral parameters, including pirouette frequency,
84 velocity, and cell occupancy, with the aim of clearly characterizing the searching
85 behaviors of worms pre-exposed to dopamine, and of mutants with defective dopamine
86 synthesis. We also employed inverse reinforcement learning, a machine learning
87 methods to identify behavioral strategies through value functions that has previously
88 been applied to such data [11–13]. Using these methods, we show that dopamine plays a
89 crucial role in ARS in *C. elegans* not only through its effect on pirouette frequency
90 changes but also on velocity changes.

91 **2. Materials and methods**

92 **2. 1. *C. elegans* strains**

93 Worms were cultured at 20°C on nematode growth medium (NGM) agar plates with
94 *Escherichia coli* OP50 bacteria under standard conditions [14]. The N2 strain
95 (wild-type) and *cat-2* (*n4547*) II (MT15620 in in the Caenorhabditis Genetics Center,
96 CGC) were used for the experiments. Hermaphrodites were used for all experiments.

97

98 **2. 2. Behavioral Assays**

99 Behavioral assays were performed as previously described [10] but without using odor.
100 Assay plates consisted of 8 ml of 1.8% agar, 1 mM CaCl₂, 1 mM MgSO₄ and 5 mM
101 KH₂PO₄ in 10-cm petri dishes. Worms were washed with S-basal buffer in a microtube
102 with a platinum wire. Next, all worms were transferred to the assay plate with the buffer
103 to enable picking up of each worm. Then, 4 µl of distilled water (rather than S-basal, to
104 avoid the effect of salt taxis) was spotted in the center of another assay plate, and worms
105 were transferred to the spotted water. Water was then removed using Kimwipes until the
106 worms were not swimming. Images were captured with a web camera (HD Pro Webcam
107 C920, Logitech) every second for 31 minutes with a custom-made Matlab program
108 (MATLAB 2016a, MathWorks). Almost all animals were measured for the full period
109 from 0 to 31 min (Fig. 4A).

110

111 **2. 3. Pre-exposure to dopamine**

112 Worms were cultivated on NGM plates with dopamine and later tracked onto the assay
113 plates (Fig. 1A). Dopamine was dissolved into the NGM solution before solidifying.
114 The NGM plates with dopamine were made and seeded with *E. coli* 24 h before the
115 assay. To prevent the degeneration of dopamine, the plates were covered with aluminum
116 foil sheets. Some young adult worms were transferred to the NGM plates with
117 dopamine 18 h before the assay and were incubated at 20°C covered with aluminum foil
118 sheets. In the mock and mutant experiments, worms were transferred to NGM plates
119 without dopamine.

120

121 **2. 4. Behavioral analysis**

122 The same analysis methods as in previous research were employed [10] using Matlab
123 programs modified from *parallel worm tracker* [15]. For worms that reached the edge

124 of the plates, tracking data before arrival at the edge were used for analysis. However,
125 most worms were successfully tracked for over 30 min (Fig. 4A). In the analysis,
126 pirouettes were defined as turns with an absolute turning rate $> 90^\circ$. In Figures 2, 3 and
127 5, data were classified into early (0–15 min) and late (16–31 min) stages. Cell
128 occupancy was calculated as the number of unique 1 mm^2 areas (cells) that worms
129 visited per minute [7,16]. This parameter indicates how worms search globally. Tracked
130 data were analyzed in each one-minute bin, and cell occupancy was calculated. For the
131 estimation of velocity and pirouette frequency, total distance and total number of
132 pirouettes in all trails during for one stage were divided by tracking period.

133

134 **2. 5. Inverse reinforcement learning**

135 The scheme for inverse reinforcement learning was used as previous research [13]. To
136 estimate the value function of velocity, the probability distribution of velocity change
137 under passive dynamics was assumed to be Gaussian ($\sigma = 0.0545$, which corresponds to
138 the standard deviation of acceleration over 1 s for all data). The regularization
139 parameter λ was determined as 40. The value function for each animal was estimated
140 using velocity data for a single track. Velocity was equally divided into 20 segments for
141 a range of 0–0.25 mm/s (over 95 % of all data were contained in these segments). For
142 maximum likelihood estimation, the Newton-CG method employing the
143 *optimize.minimize* function in SciPy (version 1.2.0) on Python 3.5.2 was used.

144

145 **2. 6. Statistical tests**

146 Statistical analysis was performed using Dunnett's test (Fig. 4A; R version 3.5.1. with
147 the *glht* function in the *multcomp* library), Welch's t-test with holm correction (Fig. 4B–
148 D; Excel 2016 with the *TTEST* function, and R version 3.5.1. with the *p.adjust*
149 function) and a paired t-test (Figs. 2, 3, 5; Excel 2016 with the *TTEST* function). To
150 compare the value function between the early and late stages, only the value at 0.013
151 mm/s was used to avoid multiple comparisons. The velocity value influences the
152 adjacent velocity value, and *vice versa*, because of the smoothness constraint [13]. This
153 interaction increases the familywise error rate and induces Type I error [17,18], so only
154 the values at 0.013 mm/s were compared. The number of assays (N) and animals (n) for
155 all analyses were: mock: N = 6, n = 27; 40 μM : N = 5, n = 29; 200 μM : N = 6, n = 32;

156 400 μ M: N = 6, n = 40; 4 mM: N = 6, n = 37; *cat-2* (*n4547*): N = 4, n = 18. *cat-2*, 4
157 mM: N = 6, n = 31.

158 **3. Results**

159 **3. 1. Pre-exposure to dopamine suppresses area-restricted search** 160 **behavior**

161 To investigate the role of dopamine on food-searching behavior, worms with dopamine
162 pre-exposure were tracked for 30 min. In previous research [1], the small assay plate
163 diameter (5 cm) limited tracking and analysis of the trails [7]. Therefore, 10-cm
164 diameter plates were used in this study and enabled the successful tracking of most
165 worms for over 30 min (Fig. 4A). Next, worms were pre-incubated overnight on plates
166 containing dopamine concentration ranging from 40 μ M to 4 mM with food. After
167 pre-exposure to dopamine, worms were transferred to assay plates without food, and
168 trails were captured and analyzed (Fig. 1A, see also Materials and Methods). Under
169 mock conditions, worms showed typical ARS behaviors, searching first locally then
170 globally [1,2,7] (Fig. 1B). However, pre-exposure to dopamine suppressed these
171 behaviors. To quantify this effect, pirouette frequency was first evaluated. When
172 comparing the early and late stages (first 15 min and last 15 min), animals decreased
173 pirouette frequency over time under mock conditions (Fig. 2A, mock). This result is
174 consistent with previous research [1]. For further analysis, velocity and cell occupancy
175 were evaluated. Cell occupancy describes how widely worms search [7]. Both velocity
176 and cell occupancy were also found to have increased (Fig. 2B, C, mock). These results
177 clearly indicate that worms changed searching behaviors from local to global. Moreover,
178 a decreasing in pirouette frequency and an increasing in velocity indicate a shift in
179 searching behavior. Conversely, pre-exposure to dopamine inhibited changes in all
180 parameters over time at dopamine concentrations above 400 μ M (Fig. 2B, C). These
181 results explicitly demonstrate that an overdose of exogenous dopamine suppresses ARS
182 behavior and that dopamine plays an important role in changing food-searching
183 behavior in *C. elegans*.

184

185 **3. 2. Dopamine-synthesis deficient mutant suppresses area-restricted** 186 **search behavior.**

187 The role of dopamine in worms lacking endogenous dopamine production was
188 investigated. The *cat-2* mutant, which cannot synthesize dopamine, was used [19–21].
189 As expected, the mutant did not display any changes in searching behaviors (Fig. 1C).
190 Further quantification showed that it was unable to modulate pirouette frequency,

191 velocity and cell occupancy (Fig. 3). These results demonstrate that endogenous
192 dopamine is necessary to change search behaviors.

193 The effect of dopamine on behavior itself was investigated (Fig. 4). In the
194 assay, behavioral change was not observed with dopamine pre-exposure, but velocity
195 and cell occupancy were decreased in the *cat-2* mutant. Moreover, dopamine exposure
196 for *cat-2* mutant clearly rescued these decreases. These results suggest that dopamine
197 could help maintain high velocity and cell occupancy, and that increasing dopamine
198 levels may change food-searching behavior.

199

200 **3. 3. Inverse reinforcement learning reveals changed value function in** 201 **wild type, but not in dopamine pre-exposed and synthesis-deficient** 202 **animals.**

203 Inverse reinforcement learning was introduced for further analysis. This method enables
204 the evaluation of behavioral strategy based on the value function [11–13]. In the
205 framework of reinforcement learning, the agent (the worm) interacts with the
206 environment to maximize the reward, and changes its behavior (the action) to obtain the
207 reward. Changing behavior incurs a cost; there is a tradeoff between the reward and the
208 cost. The tradeoff, described by the value function, corresponds to the behavioral
209 strategy [11–13]. Inverse reinforcement learning can be estimated by the value function
210 obtained from behavioral data; in this case, the worm changes its velocity to optimize
211 the search. Therefore, the value function of velocity indicates the optimal velocity for a
212 worm's searching behavior. When this method was applied to the velocity data under
213 mock conditions, the value at low velocity (0.013 mm/s in Fig. 5) was found to be high
214 in the early stage, and lower in the late stage (Fig. 5). This result indicates that worms
215 want to maintain a low velocity in the early stage only, which is consistent with the
216 velocity change in the mock animals (Fig. 2B). Moreover, corresponding to the velocity
217 change, both animals pre-exposed to dopamine and dopamine-deficient animals did not
218 show change in the value function at low velocity over time. These results strongly
219 support the notion that changing velocity is an important factor for ARS and that
220 dopamine is necessary for shifting searching behavior.

221 **4. Discussion**

222 Our results demonstrate that dopamine is necessary to switch searching behaviors from
223 local to global in worms pre-exposed to dopamine and in dopamine-deficient mutants.
224 As in previous research [1], pirouette frequency changes were evaluated. The present
225 study further affirmed the hypothesis and also carried out investigations of cell
226 occupancy and velocity. The derived value functions, estimated by inverse
227 reinforcement learning, strongly support a change in behavioral strategy. A velocity
228 change correlated to dopamine has not previously been reported in the context of ARS.

229 By modulating pirouette frequency, worms can efficiently perform a global
230 search [1,2,7,8]. Our results also reasonably explain searching strategy by showing that
231 velocity is also important in searching behaviors, with faster movements allowing wider
232 exploration. While previous research only considered path structure [1], the present
233 study shows that other parameters also affect searching behaviors.

234 Previous research shows that dopamine has crucial roles in food related
235 behaviors, through pirouette in foraging and slowing in response to food [1,22–25]. The
236 results of the present study show that dopamine is important in modulating velocity
237 while foraging, and suggest that dopamine may keep both the velocity and cell
238 occupancy high. As dopamine is also known as an essential factor for regulating
239 locomotion rate [21], it may act to shift a search from local to global.

240 Pre-exposure to dopamine concentrations above 400 μ M inhibited changes in
241 almost all parameters over time. A pirouette frequency change was observed with
242 pre-exposure to 400 μ M dopamine (Fig. 2A). In previous research [1], a dopamine
243 concentration above 1 mM was needed to prevent any pirouette frequency change,
244 suggesting that a higher concentration of dopamine is needed to inhibit a pirouette
245 frequency change than to inhibit a velocity change. These results suggest that a separate
246 pathway may modulate pirouette frequency and velocity.

247 Inverse reinforcement learning was applied to identify behavioral strategy.
248 Machine learning approaches, such as inverse reinforcement learning, have recently
249 been used to understand behavioral data [11–13,26,27]. These methods could be
250 powerful tools in understanding various behavioral strategies from novel points of view.

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335

336 **Figure Legends**

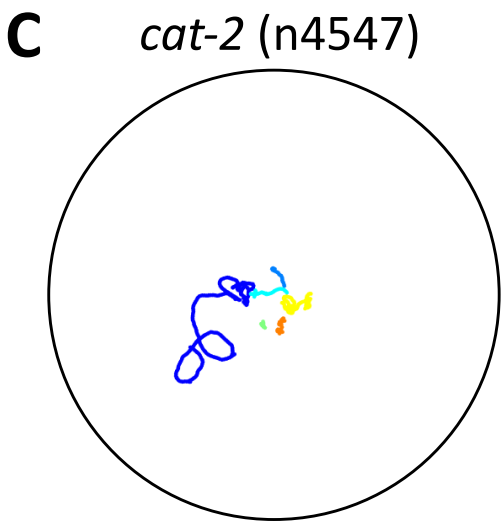
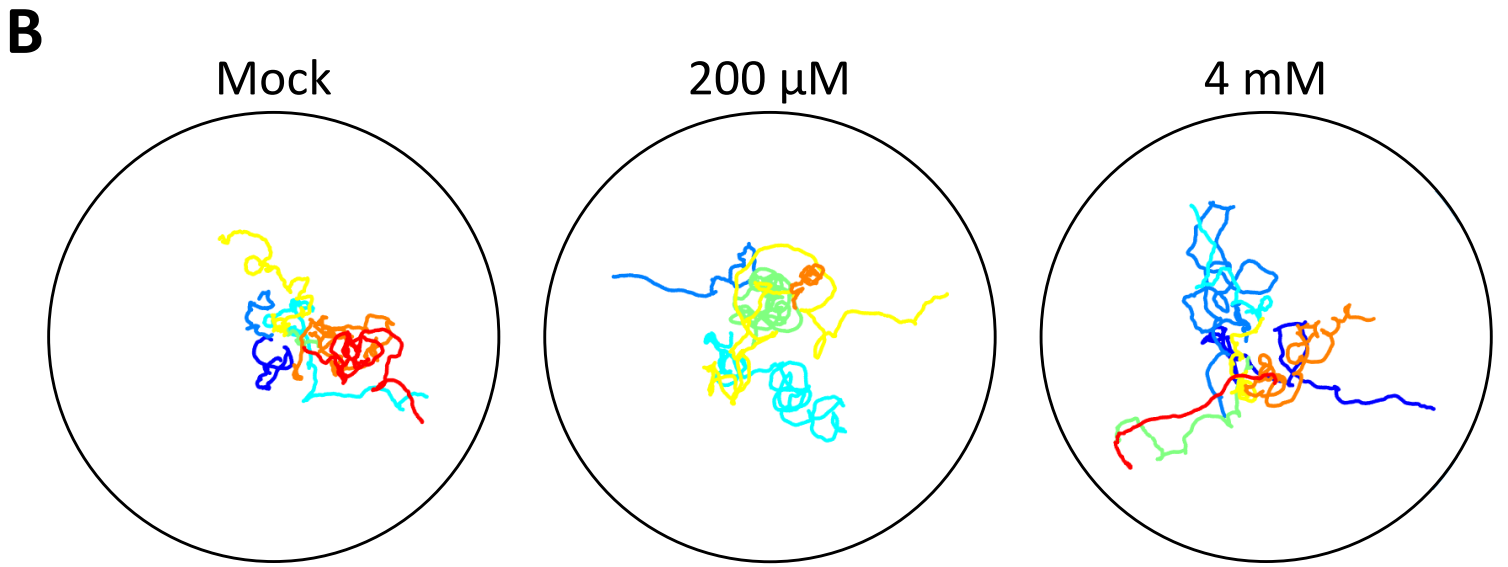
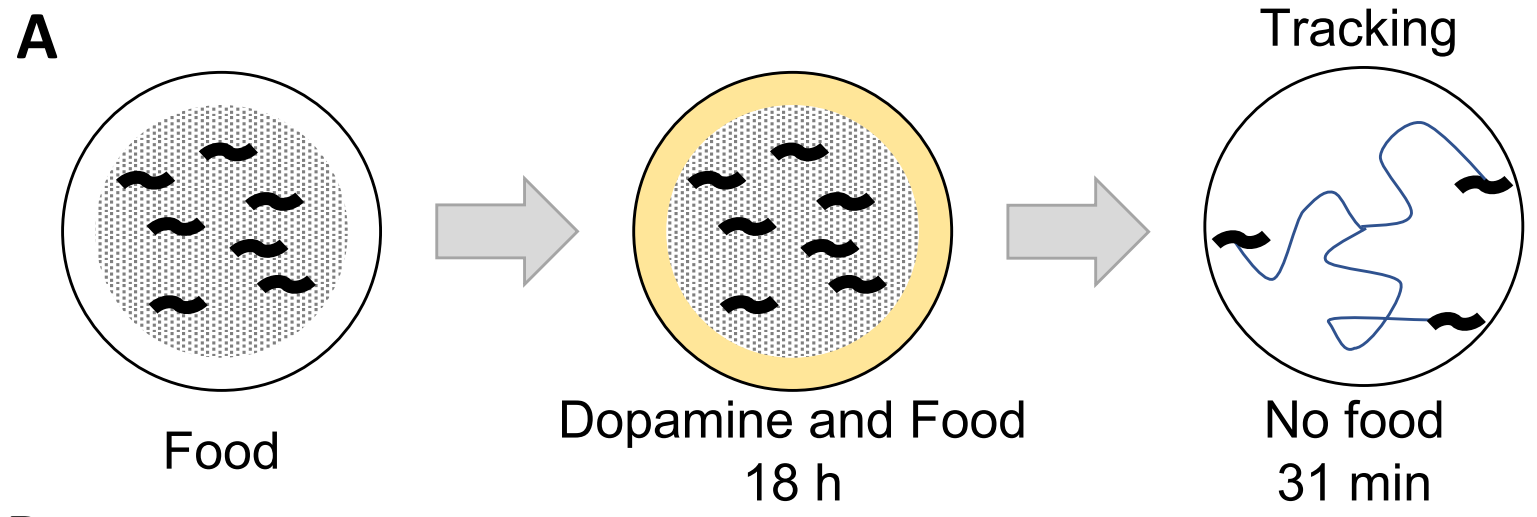
337 **Fig 1.** Foraging behaviors under dopamine modulation. (A) Experimental scheme.
338 Pre-exposed dopamine concentrations: 0 mM (mock), 40 μ M, 200 μ M, 400 μ M and 4
339 mM. (B) The representative tracks of animals on one assay with pre-exposure to no
340 dopamine (left, mock), 200 μ M dopamine (middle), and 4 mM dopamine (right). Each
341 animal is represented by a different color. (C) The representative tracks on one assay of
342 mutants with a dopamine synthesis defect, *cat-2* (*n4547*). Each animal is represented by
343 a different color.

344 **Fig 2.** Evaluation of area-restricted search behaviors with pre-exposure to dopamine.
345 (A) Pirouette frequency during the early (from 0 to 15 min, gray bars) and late (from 16
346 to 31 min, white bars) stages with pre-exposure to dopamine (from 0 to 4 mM). (B)
347 Velocity during the early and late stages with pre-exposure to dopamine. (C) Cell
348 occupancy during the early and late stages under with-exposure to dopamine. Error bars
349 indicate the standard deviation of the mean (SEM). Paired t-test, ** $p < 0.01$, * $p < 0.05$.

350 **Fig 3.** Evaluation of area-restricted search behaviors on dopamine-deficient mutants.
351 (A) Pirouette frequency during the early (from 0 to 15 min, gray bar) and late (from 16
352 to 31 min, white bar) stages in the *cat-2* mutant (*cat-2 (n4547) II*). (B) Velocity during
353 the early and late stages in the *cat-2* mutant. (C) Cell occupancy during the early and
354 late stages in the *cat-2* mutant. Error bars indicate the SEM. Paired t-test, $p > 0.05$, not
355 significant.

356 **Fig. 4.** Effect of dopamine on behaviors. Total time (A), pirouette frequency (B),
357 velocity (C), and cell occupancy (D) for each track of worms in the experiments. The
358 experiments were performed with worms pre-exposed to dopamine from 0 to 4 mM
359 dopamine, dopamine-synthesis defective mutants (*cat-2* (n4547) II) and the mutants
360 exposed to 4 mM dopamine. Error bars indicate the standard error of the mean (SEM).
361 Welch's t-test with holm correction, *** $p < 0.001$.

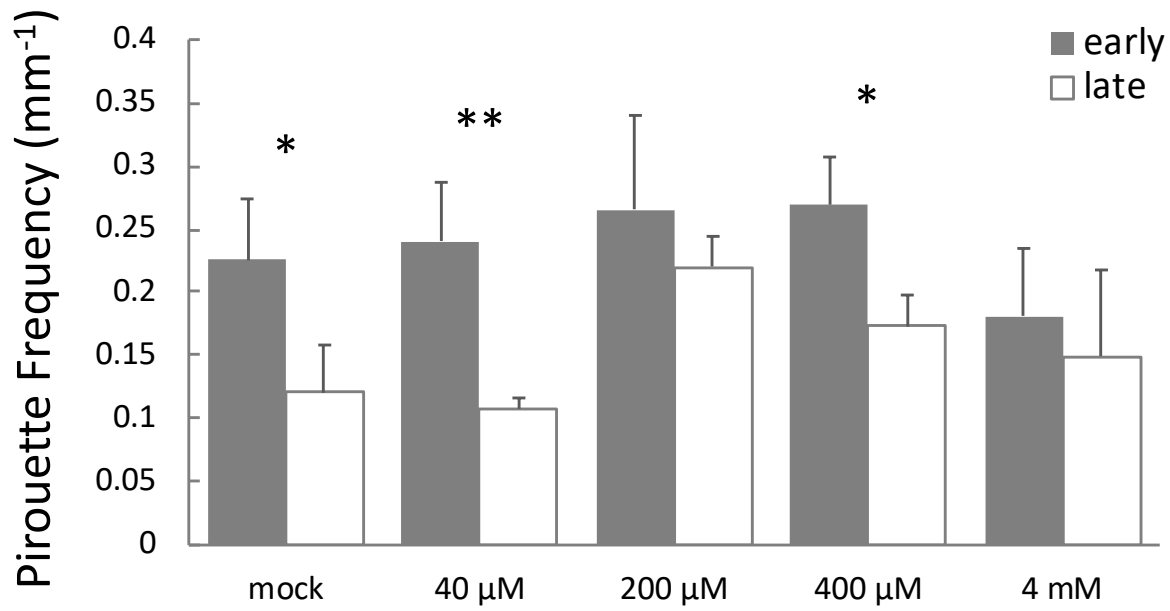
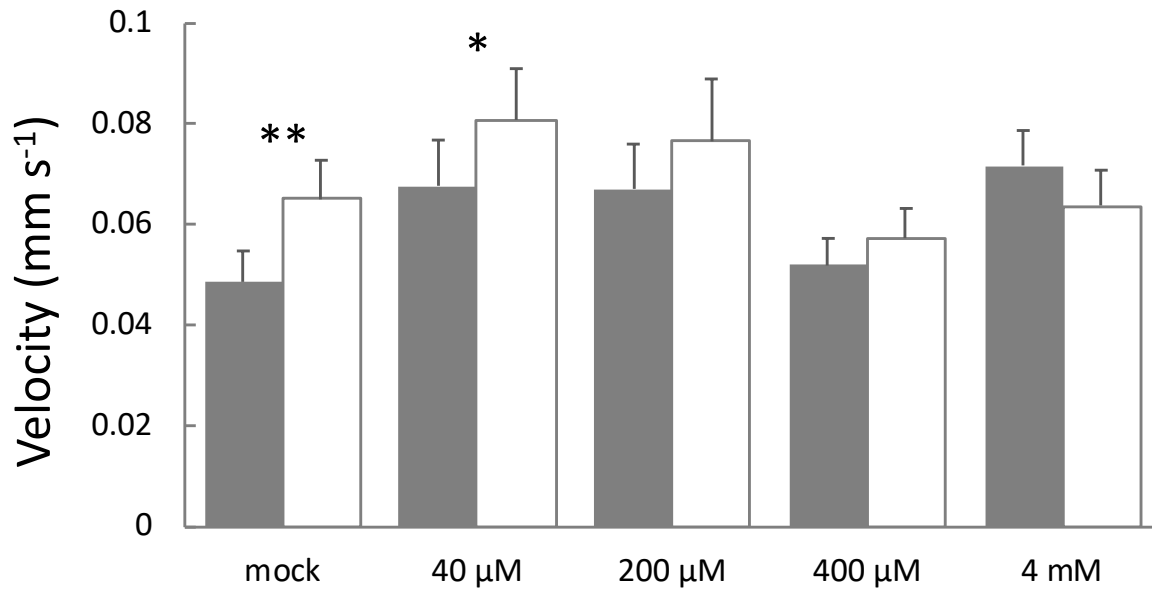
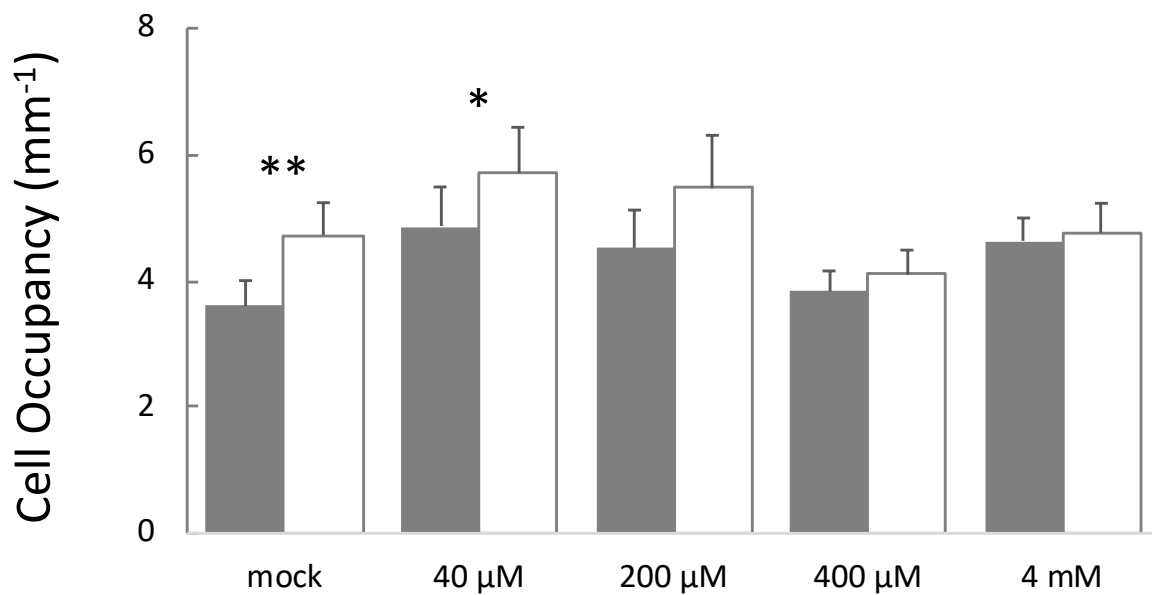
362 **Fig 5.** Value function of velocity. The average of value functions of each worm in the
363 mock, pre-exposure to dopamine (from 0 to 4 mM), and the *cat-2* mutant (*cat-2 (n4547)*
364 II) are shown. Dotted lines are value functions in the early stage, and solid lines are
365 ones in the late stage. Error bars indicate the SEM. Only the values at 0.013 mm/s are
366 compared by a paired t-test. *p<0.05.

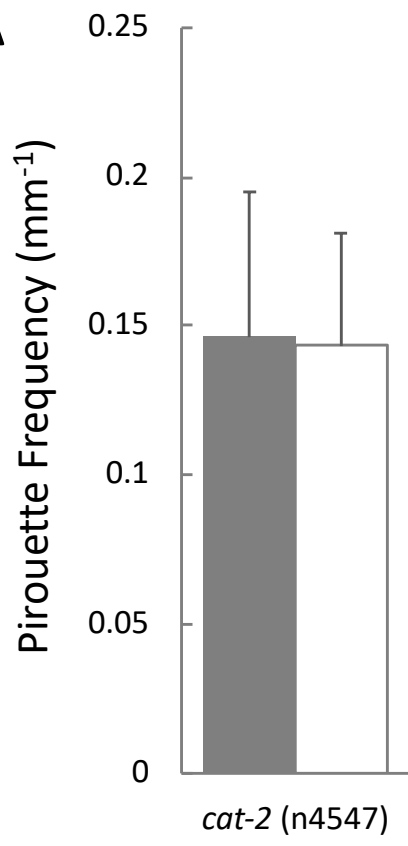
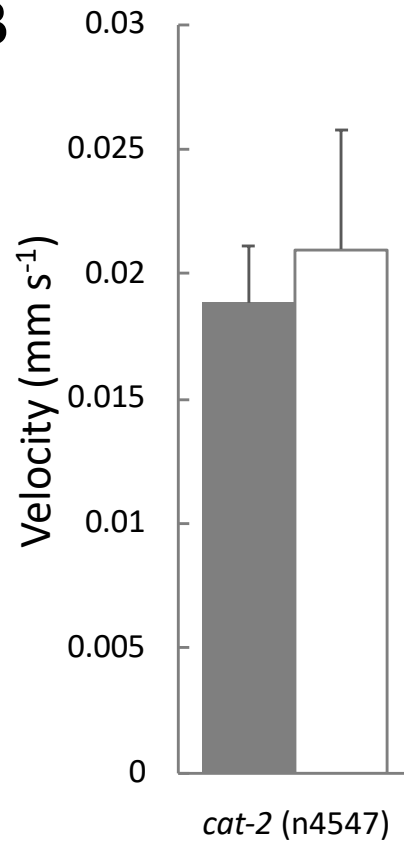
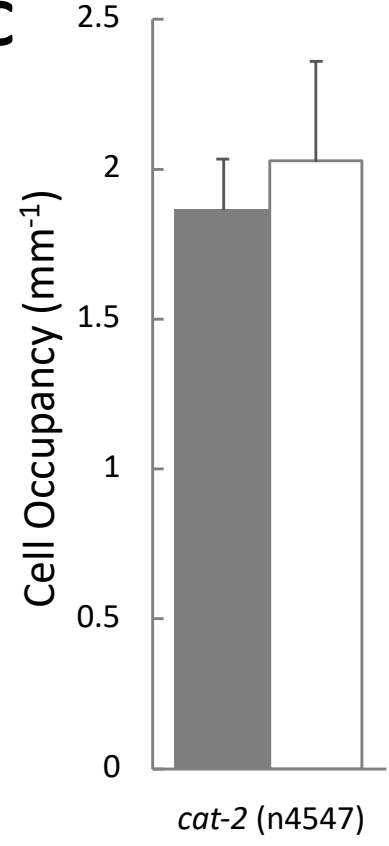


2 cm

2 cm

Fig. 1

A**B****C**

A**B****C**

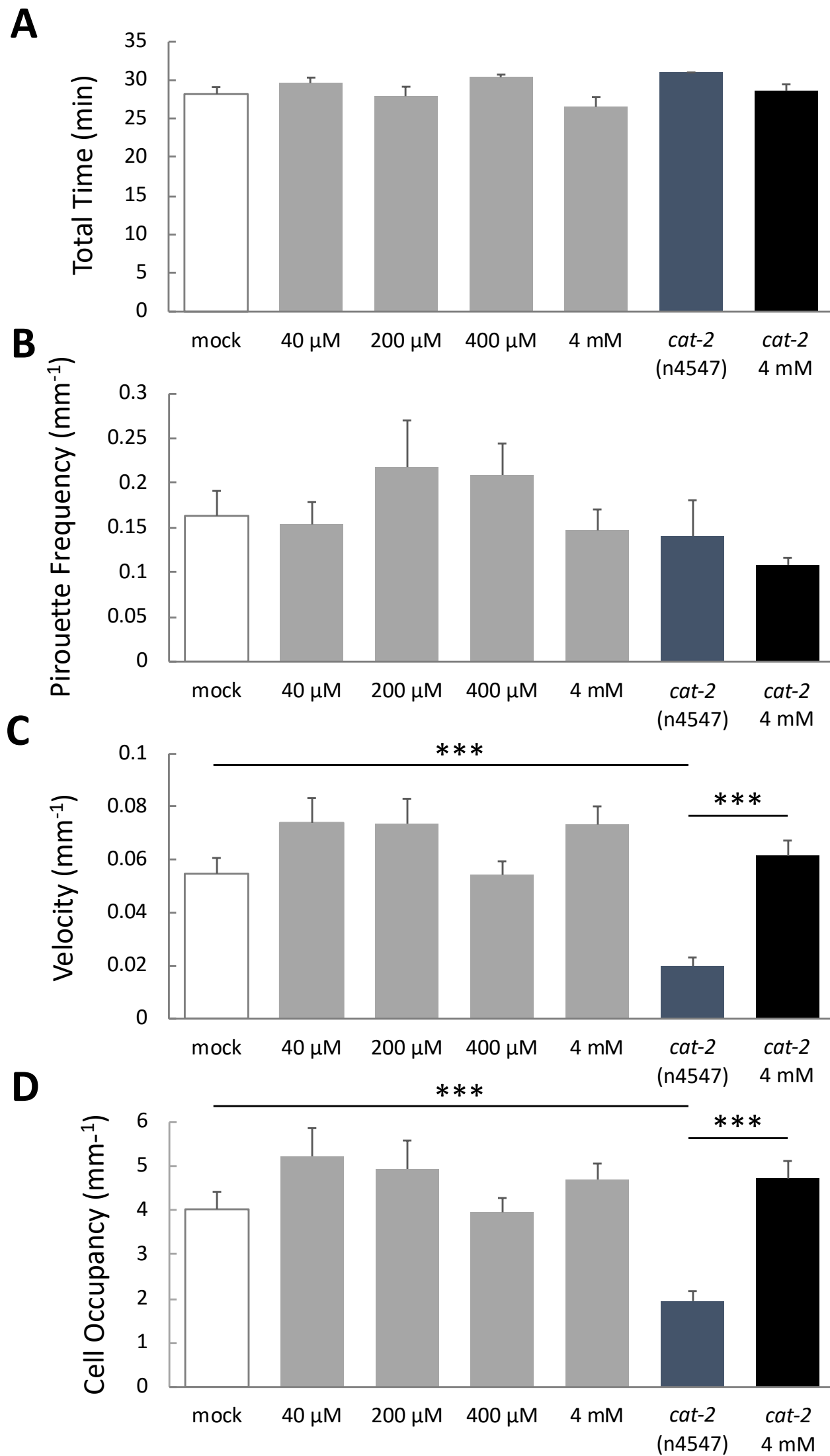


Fig. 4

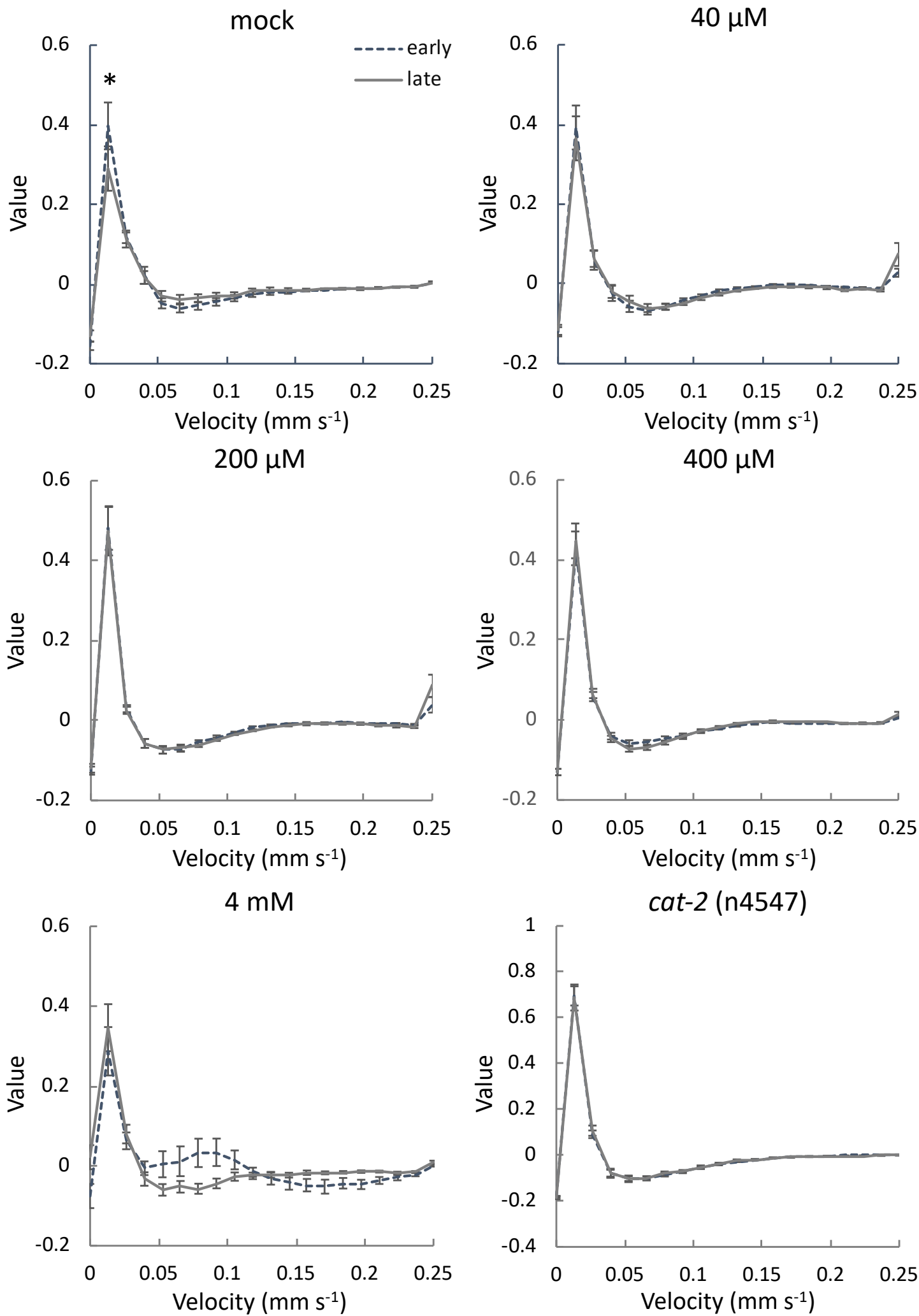


Fig. 5